

EXPRESS MAIL CERTIFICATE

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Typed or Printed Name	Dave Glisson	Express Mail No.	EL 563 388 611 US
Signature	<i>Dave Glisson</i>	Date	March 16, 2001

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

CUSTOMER NO.

24353

Atty Docket No.

UCSF-048CON

First Named Inventor

German, et al.

Title: *Delivery of Therapeutic Gene Products by Intestinal Cell Expression*JCE2 U.S. PRO
09/811323
03/16/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

Address to:

Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

- | | |
|---|--|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>in duplicate</i> | 5. <input type="checkbox"/> Microfiche Computer Program (<i>Appendix</i>) |
| 2. <input checked="" type="checkbox"/> Specification Total Pages <u>50</u>
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix | 6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission
(<i>if applicable, all necessary</i>)
a. <input type="checkbox"/> Computer Readable Copy
b. <input type="checkbox"/> Paper Copy (identical to computer copy)
c. <input type="checkbox"/> Statement verifying identity of above copies |

- Background of the Invention

ACCOMPANYING APPLICATION PARTS

- | | |
|---|--|
| - Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure | 7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) |
| 3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) Total Sheets <u>10</u> | 8. <input checked="" type="checkbox"/> Power of Attorney (copy from prior application) |
| 4. <input checked="" type="checkbox"/> Oath or Declaration Total Sheets <u>6</u>
(In Counter-Part)
a. <input type="checkbox"/> Newly executed (original or copy)
b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)
(for continuation/divisional with Box 16 completed)

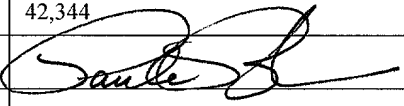
i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u>
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

c. <input type="checkbox"/> Unsigned | 9. <input type="checkbox"/> English Translation Document (<i>if applicable</i>) |
| | 10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <u>0</u> Copies of IDS Citations |
| | 11. <input type="checkbox"/> Preliminary Amendment |
| | 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)
(<i>Should be specifically itemized</i>) |
| | 13. <input checked="" type="checkbox"/> Applicants are entitled to Small Entity status as defined under 37 CFR § 1.27 |
| | 14. <input type="checkbox"/> Certified Copy of Priority Document(s)
(<i>if foreign priority is claimed</i>) |
| | 15. <input checked="" type="checkbox"/> Other: Application Cover Page |
| | 16. <input checked="" type="checkbox"/> Applicant Claims small entity status. See 37 CFR 1.27 |

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

- ☒ Continuation of prior application No. 09/254,988, Filed June 11, 1999, which is 371 of PCT/US97/16523, filed September 18, 1997, which is a Continuation-in-Part of application No. 08/717 084 filed September 19, 1996.

17. CORRESPONDENCE ADDRESS			
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SIGNATURE of Applicant or Assignee of Record	
Individual Name	Paula A. Borden
Registration No.	42,344
Signature	
Date	March 16, 2001

document\UCSF-048CON\Application trans-new utility.doc

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**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT**\$435.00****Complete if Known**

Application Number	Unassigned
Filing Date	Herewith (March 16, 2001)
First Named Inventor	German et al.
Examiner Name	Unassigned
Group Art Unit	Unassigned
Attorney Docket No.	UCSF-048CON

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number

50-0815

Deposit Account Name

Bozicevic, Field & Francis LLP

- ☒
- Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

- ☒
- Applicant claims small entity status See 37 CFR § 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
101	710	201	355	Utility filing fee	355.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					\$355.00

2. EXTRA CLAIM FEES

Total Claims		Extra Claims		Fee from below		Fee Paid	
17	-20** =	0	X	9.00	=	0.00	
4	-3** =	1	X	40.00	=	40.00	
Multiple Dependent				0.00	=	0.00	

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					\$40.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non - English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR § 1.17(q)	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)**\$40.00****SUBMITTED BY**

Name (Print/Type)

Paula A. Borden

Registration No.
(Attorney/Agent)

42,344

Complete (if applicable)

Telephone

650-327-3400

Signature

Date

March 16, 2001

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.